

BRIEF DESCRIPTION OF ATTACHMENTS

UNLAWFUL COOPERATION OF KAZAKHSTAN WITH THE RUSSIAN AUTHORITIES

On 26 September, 2012, the Kazakh government issued Russian investigator Budilo a pistol (CZ 75 B, 9mm Luger, No. 4880V).

Annex 1_Gun for Budilo_26.09.2012

On 11 September, 2012, the Law Firm Quorum, which represented the interests of Kazakhstan's BTA Bank in Russia, informed the General Prosecutor's Office of the Republic of Kazakhstan, Ulukbek Maksatbekuly as follows: *'In June 2009, the President of the Republic of Kazakhstan Nursultan Nazarbayev, during a personal meeting, appealed to the Chairman of the Russian Government V.V. Putin with a personal request to conduct an impartial and full investigation into the activities of Ablyazov and his accomplices within the territory of Russia'*. The letter also stated: *'(...) employees of the Russian Interior Ministry received documents relating to a number of loans granted by the Bank of Russia; however, (...) they did not succeed in gathering sufficient materials for a criminal investigation'*.

Annex 2_Letter to Maxatbekuulu_11.09.2012

On 26 September, 2011, the representative of the Kazakh intelligence agency Shakizat Amzeyev wrote to the Administration of the President of Kazakhstan that investigators Zotov and Chertousov "do not recognise Kazakhstan's BTA Bank as a victim in the case"; at the same time, Zotov does not see any elements of the crime at all. The representative of the Kazakh intelligence agency considers it important that the Russian investigators issue decisions that have "at least some economic impact (decisions to arrest land, decisions to initiate criminal proceedings, decisions to carry out searches etc.)". Amzeyev advises the administration of the President of Kazakhstan to convey to one of the leaders of the Russian Interior Ministry Valeriy Kozhokar, "our position on the case (i.e. M.K. Ablyazov is not a political refugee, but a fraudster who had established on the territory of CIS, a mafia-type organisation which has stolen \$10 billion)".

Amzeyev concludes: "Based on the above, it is necessary to give awards of the Republic of Kazakhstan (military award pistols and others) to V.V. Kozhokar, V.P. Lapshev, P.V. Zhesterov and the head of the 'M' Department of the FSB of the Russian Federation, with the presentation of the awards in the Embassy of Kazakhstan, followed by a banquet, and invitation of representatives of the Bank. Until these events are held, an effective investigation into the criminal cases of M.K. Ablyazov on the territory of the Russian Federation is going to be difficult".

Annex 3_Amzeev to Kazakh AP re incentive for Russia investigators_26.09.2011

Kazakh side held meetings with Russian presidential aide Yevgeny Shkolov, First Deputy Prime Minister of the RF Igor Shuvalov and Moscow's Mayor Sergei Sobyanin. In particular, on 5 November, 2013, Yevgeny Shkolov supported the proposals to 'appoint curators of the issue of BTA Bank in the Supreme Arbitration Court of the Russian Federation and the Federal Service of Court Bailiffs in order to control

litigation, ensure objective consideration and decision-making'. The Russian side has promised to ensure 'recognition by the Russian Federation of the judgements, handed down in the Republic of Kazakhstan' in the case of Ablyazov.

Annex 4_Meetings with Russia authorities_16.11.2013

On 19 May, 2010, an agent of the Kazakh Foreign Intelligence Shakizat Amzeyev informed the administration of the President of Kazakhstan of the fact that he had questioned Lev Rakovskiy, and also talked with Zhaksylyk Zharimbetov: 'He is ready to cooperate, and what is more, we intend to arrest his friends Savchenko (CEO of ATTA IPOTEKA) and Dmitriy Pak, if he doesn't mislead us'.

Annex 5_Amzeev to Kazakh AP_19.05.2010

In a letter to the administration of the President of Kazakhstan, the representative of the Kazakh intelligence agency Shakizat Amzeyev reports that a Mr. Mussov remained in his office in the Russian Interior Ministry: "We are currently carrying out talks regarding his licensing, with the leadership of the Ministry of Interior. Without him, the case of Ablyazov will certainly fail. He is the only one who supports our line of the criminal proceedings." Amzeyev also states that "it is necessary to initiate criminal proceedings against all the owners of the Vitino oil port, including Ablyazov".

Annex 6_Amzeev to Kazakh AP re Mussov

In a letter to the administration of the President of Kazakhstan, the representative of the Kazakh intelligence agency Shakizat Amzeyev requests that "attention be paid to our request regarding a phone call to the chairman of the Moscow City Court Yegorova (...) through the office of the Russian Federation's Prime Minister".

Annex 7_Amzeev to AP of Kazakhstan re Egorova_29.03.2010

Excerpts from the letter from the representative of Kazakhstan's intelligence agency Shakizat Amzeyev to the head of the Administration of the President of Kazakhstan, Aslan Musin: "I would like to convey our thanks and appreciation from our investigation group for your intervention in the court proceedings. Everything went as planned. On 14 April, 2010, a session will be held again in the Moscow City Court; the court is going to examine the complaint, filed by the defence, against the arrest of Aleksandr Volkov and Denis Vorotyntsev. We kindly request that you once again use your leverage on the President of the Moscow City Court, Olga Aleksandrovna Egorova. We are proceeding to the second part of our plan regarding the exertion of psychological influence on the detainees (...) Soon, we are to begin negotiations with the detainees on the transfer of assets. (...) With regard to Ablyazov and Solodchenko, we are collecting evidence for new charges".

Annex 8_Amzeev to Musin re pressure on Russian court

On 26 November, 2013, representative of BTA Bank, Andrey Pavlov reported to the General Prosecutor's Office of the Republic of Kazakhstan and stated that the participants in the case of Ablyazov, namely: Volkov, Bondarenko, Belov and Vorotyntsev had been sentenced to 8-9 years' imprisonment. Pavlov

informed the office that Putin had 'discussed' the course of the investigation regarding these individuals with Nazarbayev, 'supported the court sentences, handed down against the defendants and took the case "under his personal control" '.

Annex 9_Pavlov to Kazakh Prosecutor_26.11.2013

In a letter dated 29 November, 2013, representative of Kazakh BTA Bank Andrey Pavlov stated that during the period from 15 November, 2013 to 29 November, 2013, 'Y.Y. Tishchenko gave detailed and comprehensive testimony regarding all the members of the criminal group', which allowed the bringing of justice to Ablyazov's partners. According to Pavlov, Tishchenko 'gave details of Ablyazov's illegal political activities, his role in organising mass riots, inciting ethnic hatred in the Republic of Kazakhstan (...)'.

Annex 10_Tyshenko's testimony _29.11.2013

On 5 September, 2013 and 6 September, 2013, Russian investigator Nikolay Budilo sent to the representative of Kazakhstan's BTA Bank Andrey Pavlov a draft document detailing written charges in the cases of Paraskevich, Ablyazov, Trofimov and others accused. The investigator requested that the names of companies and initials of the accused be 'verified', and that the value of the damage caused be specified.

Annex 11_Budilo to Pavlov_06.09.2013

CORRUPTION COOPERATING OF KAZAKHSTAN WITH UKRAINIAN AUTHORITIES

Kazakhstan controlled the actions of Ukrainian investigators through a private law firm Ilyashev and Partners, which officially represents the interests of the nationalised Kazakh BTA Bank:

- On 2 August, 2012, Ukrainian investigator Maksim Melnik received instructions from the Kazakh side (the firm 'Ilyashev and Partners') with regard to the interrogation of a citizen of Kazakhstan Rifat Rizoyev: "The interrogation should prove (that): 1. All offshore companies were established by Ablyazov – he is the mastermind; 2. Ablyazov is the genuine beneficiary of these companies; 3. Ablyazov's people managed the offshore companies (namely: Udovenko, Kononko, Paraskevich, Shalabayev, Khazhayev); 4. The purpose of the establishment of the offshore companies was to steal money from the bank; 5. The projects were cheaper. With their funding, the money was embezzled".

Annex 12_Ilyashev about interrogation_02.08.2012

- Kazakhstan's Prosecutor's Office received from an employee of 'Ilyashev and Partners', Roman Marchenko, detailed reports on the actions of the Ukrainian investigative bodies. On 6 May, 2010, Marchenko asked Ulugbek Maksatbekuuly, the then member of the Management Board of BTA Bank, and currently - Adviser to the General Prosecutor the Republic of Kazakhstan: "Today, I spoke with the

investigator. He asked whether Zharimbetov should be placed on the wanted list". The reply received was as follows: "It is necessary." Later, Marchenko stated that "the instruction has been fulfilled".

Annex 13_Marchenko to Maksatbekuulu_06.05.2010

- On 11 September, 2010, Marchenko wrote to the management of BTA Bank: "Colleagues! I am pleased to report that we have contributed to the progress of our investigators in the case of M. Ablyazov, namely: A criminal case was instituted against M. Ablyazov under Article 190, section 4 of the Criminal Code of Ukraine (...) The main motive of the case is that there existed an OCG (organised criminal group) of 'Ablyazov, Zharimbetov and others'.

Annex 14_Marchenko to BTA Bank_11.09.2010

The representation of Ukraine in the French court was carried out "on a pro bono' basis by the firm 'Winston & Strawn LLP'. Investigator Maksim Melnik gave this company permission to represent Ukraine. Winston & Strawn LLP's fees were paid by Kazakhstan's BTA Bank with the mediation of the firm 'Ilyashev and Partners'. On 24 May, 2014 Roman Marchenko informed Andrey Kravchenko of the need to urgently address the issue of financing the lawyers from Winston & Strawn LLP: "Unfortunately, the Bank has not yet paid our invoices, and we cannot pay Winston, and so they refuse to work until we settle their fees and transfer 50,000 as a down payment. Your influence in the matter would be greatly appreciated". A week before, on 15 May, 2014, Marchenko provided the document 'budget proposal', according to which the services rendered by Winston & Strawn LLP cost approx. 320,000 euros.

Annex 15_Financing of Winston_15.05.2014

KAZAKHSRAN'S INFLUENCE ON THE FRENCH AUTHORITIES

On the basis of the publicised correspondence, French law enforcement initiated a criminal case against the French prosecutor, Solange Legras, and, furthermore, the authenticity of the published correspondence has been confirmed. It has transpired that representatives of Ukraine: Guillaume Faure; of Russia: Denis Grunis; of Kazakhstan's BTA Bank: Antonin Levy, who demanded that Ablyazov be extradited, provided the French prosecutor Solange Legras with documents on the case, bypassing the formal procedure. The French prosecutor gave them friendly advice, thus violating the obligation to remain impartial.

- To one of the letters by Denis Grunis (representative of Russia) prosecutor Solange Legras replied: "*Thank you, dear Mr. Grunis, I look forward to the court's decision and hope that we did not fight in vain!*".

Annex 16_Legras and Grunis_16.12.2013

- On 11 December 2013 at 15:08:16 a person named Paul L'Huillier wrote to Guillaume Faure: "Solange phoned me, she is asking us to hurry up, have you read the remarks?". On 12 December 2013 at

18:27:04 Guillaume Faure wrote to his colleagues during a court hearing on the extradition case, "Is Solange using the tactics?" On 12 December 2013 Guillaume Faure wrote to prosecutor Legras messages of the following content: "Are we killing Mandela? (18:59:30) Is Ablyazov crying? (19:00:37)" Prosecutor Legras replied: "Almost! But I am certainly!" (19:01:18). On 13 December 2013 at 16:13:04 the prosecutor Solange Legras reported her actions to the representative of Ukraine, Guillaume Faure: "I filed a request for giving the Russians the priority, taking into account the amount of damage - \$ 50 billion. I have not discussed this with you, but I think that Ukraine will understand. Have a wonderful weekend. SL"

Annex 17_Legras and Faure_11.12.2013-13.12.2013